

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF TEXAS  
SHERMAN DIVISION**

**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**v.**

**SETHI PETROLEUM, LLC and  
SAMEER P. SETHI,**

**Defendants.**

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**CASE NO. 4:15-cv-338**

**EXHIBIT LIST**

**DEFENDANT'S TRIAL EXHIBIT LIST**

Pursuant to the Court's May 6, 2016 Order on Joint Motion to Modify Certain Deadlines in Scheduling Order, Defendant Sameer P. Sethi files this Trial Exhibit List concurrent with the parties' Joint Final Pretrial Order.

<b>EX.</b>	<b>DESCRIPTION</b>	<b>OFFERED</b>	<b>ADMITTED</b>
D-1	NDDF Private Placement Memorandum		
D-2	NDDF Subscription Document		
D-3	NDDF Executive Summary		
D-4	Sameer Sethi Series 7 Certificate		
D-5	Defendant reserves the right to amend this Exhibit List to include documents received from the Receiver.		

Dated this 13th day of December 2016.

Respectfully submitted,

/s/ Adam J. Holcomb

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**ATTORNEY FOR DEFENDANT  
SAMEER P. SETHI**

**CERTIFICATE OF SERVICE**

On December 13, 2016, this document was served on all parties by filing through the Court's Electronic Filing System.

/s/ Adam J. Holcomb

Adam J. Holcomb